

# A 2-Approximation Algorithm for Feedback Vertex Set in Tournaments

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Recent Trends in Algorithms, NISER Bhubaneswar  
February 9, 2019

# Definitions

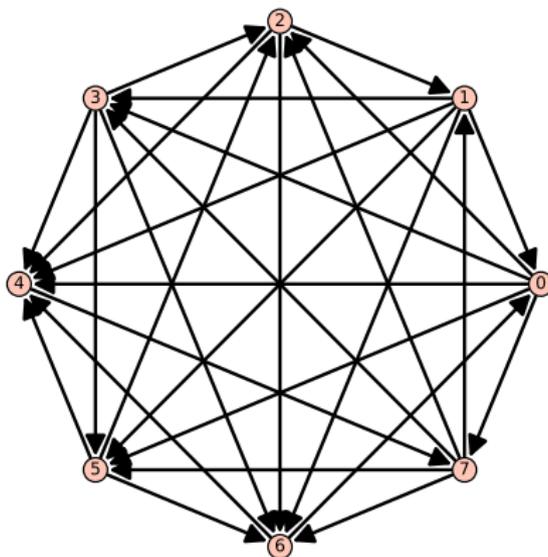
## Tournaments

- ▶ A *tournament* is a directed graph in which there is exactly one arc between any two vertices.
  - ▶ Take a complete graph and give each edge an orientation.

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  - ▶ Take a complete graph and give each edge an orientation.



- ▶ Observation: Deleting vertices preserves the tournament property

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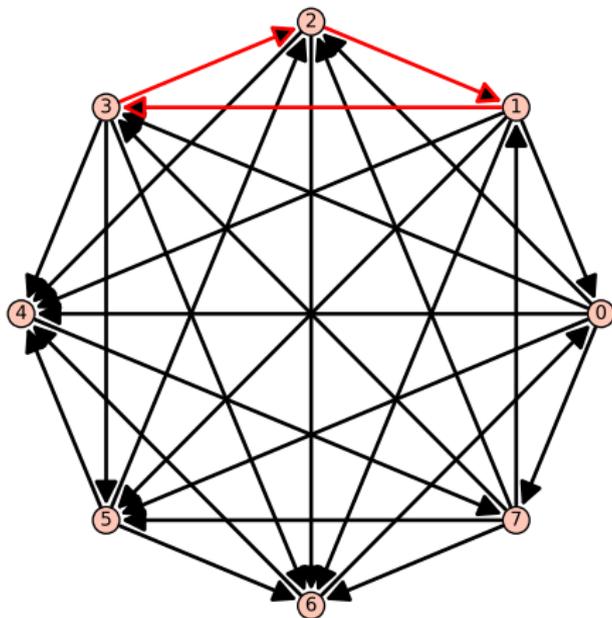
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- ▶ A tournament is *acyclic* if it does not contain any directed cycle.
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  - ▶ has a directed cycle if and only if it has a directed *triangle*
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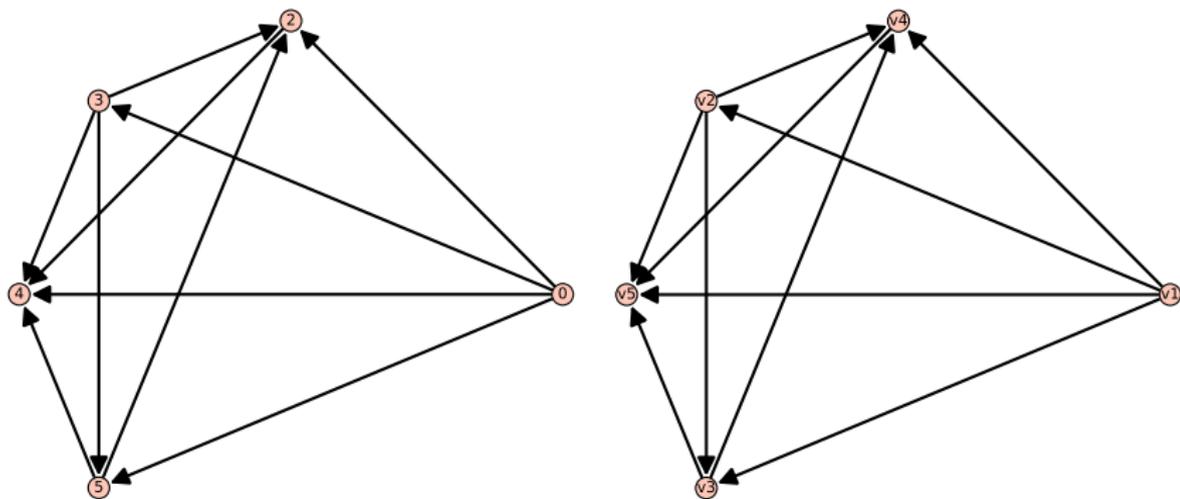
## Acyclic Tournaments

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  - ▶ The example tournament is *not* acyclic
- ▶ A tournament
  - ▶ has a directed cycle if and only if it has a directed *triangle*
  - ▶ is acyclic if and only if it contains *no* directed triangle
- ▶ An acyclic tournament has a *unique* **topological ordering** of vertices
  - ▶ We can re-label its vertices as  $v_1, v_2, \dots, v_n$  such that every arc is *from* a "smaller" vertex *to* a "larger" vertex.
  - ▶ In exactly one way.

# Definitions

## Topological Ordering

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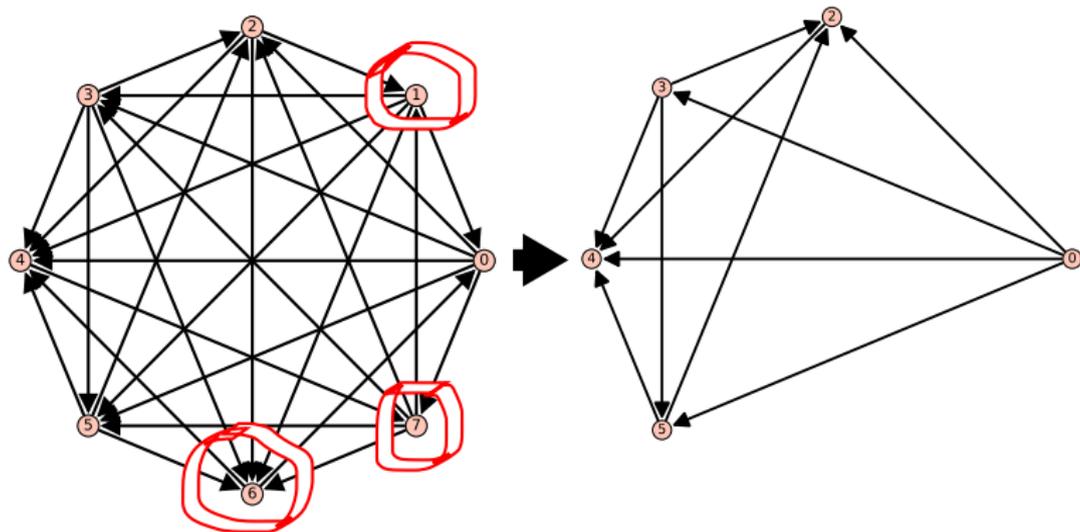
## Feedback Vertex Sets

- ▶ A *feedback vertex set* (**FVS** for short) of a tournament  $T$  is any subset  $S$  of its vertices such that *deleting*  $S$  from  $T$  gives an acyclic tournament.

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# The Problem

## Weighted Feedback Vertex Set in Tournaments

- ▶ Input: A tournament  $T = (V, A)$  and a weight function  $w : V \rightarrow \mathbb{N}$ 
  - ▶ Non-negative integral weights on vertices
- ▶ Task: Find a feedback vertex set of  $T$  of the *smallest total weight*

# Weighted Feedback Vertex Set in Tournaments

Some known results

## NP-hardness

- ▶ Is NP-hard, even in the unweighted case
  - ▶ When all vertices have the same weight

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## Polynomial-time approximation algorithms

- ▶ Unweighted case: Simple 3-factor approximation algorithm
- ▶ Weighted case:
  - ▶ 3-approximation: Local-ratio technique
  - ▶  $\frac{5}{2}$ -approximation: Cai et al., 2000
    - ▶ Local-ratio technique
  - ▶  $\frac{7}{3}$ -approximation: Mnich, Vassilevska-Williams, and Végh, ESA 2016
    - ▶ Iterative rounding

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Some known results

## NP-hardness

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## Polynomial-time approximation algorithms

- ▶ Best known approximation ratio, weighted case:
  - ▶  $\frac{7}{3}$ : Mnich et al., 2016
- ▶ Under the Unique Games Conjecture:
  - ▶ No  $(2 - \epsilon)$ -approximation
  - ▶ Even for the unweighted case
  - ▶ Reduction from Vertex Cover

# Weighted Feedback Vertex Set in Tournaments

## Our results

- ▶ A randomized polynomial-time 2-factor approximation algorithm
  - ▶ Runs in time  $\mathcal{O}(n^c)$
  - ▶ Outputs an FVS
  - ▶ Is a 2-factor-approximate solution with probability  $\frac{1}{2}$
- ▶ Derandomized in quasi-polynomial time

# Our 2-Approximation Algorithm

## Main Ingredients

- ▶ The Local Ratio Technique
- ▶ Randomization
- ▶ Divide and Conquer

# The Local Ratio Technique

Weighted FVS in Tournaments, 3-Approximation

- ▶ Input: A tournament  $T = (V, A)$  and a weight function  $w : V \rightarrow \mathbb{N}$
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- ▶ Recall: Necessary and sufficient to "hit" all directed triangles

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- ▶ Task: Find a feedback vertex set of  $T$  of the *smallest total weight*
  
- ▶ Find a triangle with all three weights positive
- ▶ Subtract the least weight from all three weights
  - ▶ At least one vertex weight becomes zero
- ▶ Repeat this till no triangle has all three weights positive
- ▶ Return the set of zero-weight vertices

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- ▶ Repeat this till no triangle has all three weights positive
- ▶ Return the set of zero-weight vertices
  - ▶ Gets us a 3-approximate solution in the *unweighted* case ...
  - ▶ ... and *also* in the weighted case.

# The Local Ratio Technique

Weighted FVS in Tournaments, 3-Approximation, contd.

- ▶ Claim 1: The set  $S$  of zero-weight vertices in the final graph is a feedback vertex set of  $T$ .
  - ▶ Proof

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- ▶ Claim 1: The set  $S$  of zero-weight vertices in the final graph is a feedback vertex set of  $T$ ,
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  - ▶ The total original weight of the vertices in  $S$  is not more than the total weight we reduced from all vertices during the procedure
  - ▶ If we reduced a total weight of  $3r$  in a round then the weight of an **optimal FVS reduced** by **at least  $r$** 
    - ▶ Let  $\{x, y, z\}$  be the triangle we modified in this round
    - ▶ Let  $w(x) = r$ . Then  $w(y) \geq r$ ,  $w(z) \geq r$
    - ▶ An optimal FVS  $S$  of the pre-round graph *must* contain at least one of  $\{x, y, z\}$
    - ▶ The *same* set  $S$  is an FVS of the post-round graph, now with weight lesser by at least  $r$

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  - ▶ If we reduced a total weight of  $3q$  over *all* rounds then the total reduction in the weight of an optimum FVS is at least  $q$

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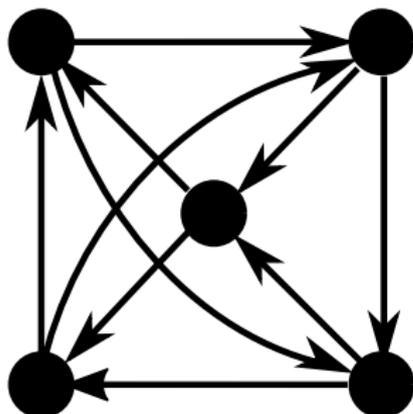
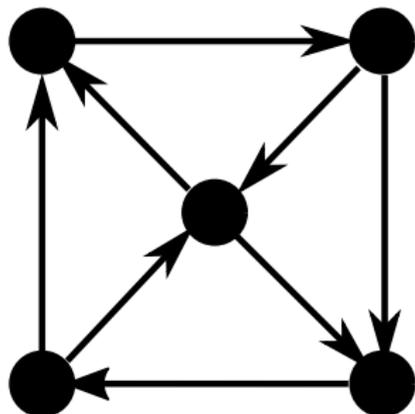
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  - ▶  $w(S) \leq 3q \leq 3 \times (\text{wt. of an optimum FVS of the original instance})$

# The Local Ratio Technique

Weighted FVS in Tournaments,  $\frac{5}{2}$ -Approximation

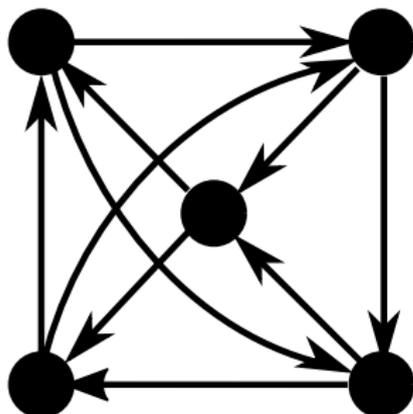
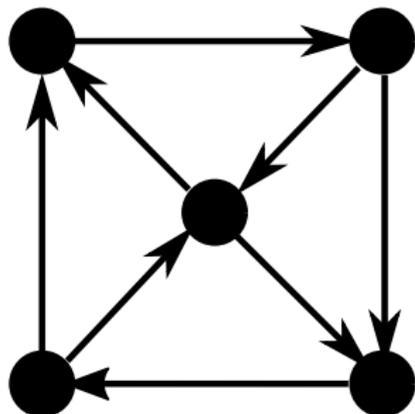
- ▶ Cai et al. found two graphs:



# The Local Ratio Technique

Weighted FVS in Tournaments,  $\frac{5}{2}$ -Approximation

- ▶ Cai et al. found two graphs:



- ▶ Any FVS must pick at least two of the five vertices
- ▶ If *neither* graph is present in a tournament:
  - ▶ The Weighted FVS problem is **polynomial-time** solvable

# The Local Ratio Technique

Weighted FVS in Tournaments,  $\frac{5}{2}$ -Approximation

- ▶ Due to Cai et al.
- ▶ Two five-vertex graphs
  - ▶ If present, must pick at least two vertices
  - ▶ If not present, polynomial-time solvable!
- ▶ Now apply the local ratio technique
  - ▶  $\frac{5}{2}$ -approximation

# The Local Ratio Technique

How to get a 2-Approximation?

- ▶ One way could be:
  - ▶ Find (say) a set of 10-vertex graphs,
  - ▶ from each of which at least 5 vertices must be picked
  - ▶ whose absence gives a polynomial-time solvable instance
- ▶ Sounds like hard work!
  - ▶ (Why should these even exist?)

# Our Idea: Use The Local Ratio Technique ...

... "on steroids"

- ▶ We find one graph on **two** vertices
  - ▶ from which at least one vertex must be picked
  - ▶ and whose absence gives a polynomial-time solvable instance
- ▶ (... more or less.)

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- ▶ The "steroids":
  - ▶ A "global" take on the local ratio technique
  - ▶ Randomization
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- ▶ The "steroids":
  - ▶ A "global" take on the local ratio technique
  - ▶ Randomization
  - ▶ Plain old Divide and Conquer
- ▶ All three are (well-)known ideas

# A Generalized Local Ratio Technique

Applies when there is an optimum solution with **many** vertices

- ▶ Input:
  - ▶ Tournament  $T = (V, A)$  ;  $|V| = n$
  - ▶ Weight function  $w : V \rightarrow \mathbb{N}$
- ▶ Suppose there is an **optimal** solution  $S^*$  ;  $|S^*| \geq \frac{2n}{3}$ 
  - ▶ Let  $L$  be a set of  $\frac{n}{6}$  vertices of the **smallest** weight
  - ▶ Then  $\frac{w(L)}{w(S^*)} \leq \frac{1}{6} / \frac{2}{3} = \frac{1}{4}$

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- ▶ To get a  $\frac{5}{4}$ -approximation:
  - ▶ Pick *all* of  $L$
  - ▶ Find an optimum solution for  $G - L$
  - ▶ Take their union

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  - ▶ Then  $\frac{w(L)}{w(S^*)} \leq \frac{1}{6} / \frac{2}{3} = \frac{1}{4}$
- ▶ To get a **2**-approximation:
  - ▶ Pick *all* of  $L$
  - ▶ Let  $max_L = \max_{v \in L} w(v)$
  - ▶ Set  $w' : (V \setminus L) \rightarrow \mathbb{N}$ 
    - ▶  $w'(x) = w(x) - max_L$
  - ▶ Find a 2-approximate solution for  $((T - L), w')$
  - ▶ Take their union

# A Generalized Local Ratio Technique

Optimal solution  $S^*$  ;  $|S^*| \geq \frac{2n}{3}$

- ▶  $L$ :  $\frac{n}{6}$  vertices of the **smallest** weight
- ▶  $\max_L = \max_{v \in L} w(v)$
- ▶  $w' : (V \setminus L) \rightarrow \mathbb{N}$ 
  - ▶  $w'(x) = w(x) - \max_L$
- ▶ Reduced instance  $R = ((T - L), w')$
- ▶  $R_{approx}$ : 2-approximate solution for  $((T - L), w')$
- ▶ **Claim:**  $L \cup R_{approx}$  is a 2-approximate solution for  $(T, w)$

# A Generalized Local Ratio Technique

$S^*$ : Optimal solution,  $|S^*| \geq \frac{2n}{3}$ ;  $L$ :  $\frac{n}{6}$  vertices of least weight

$w'(x) = w(x) - \max_L$ ,  $R = ((T - L), w')$

$R_{approx}$ : 2-approximation for  $R$

- ▶ **Claim:**  $L \cup R_{approx}$  is a 2-approximate solution for  $(T, w)$
- ▶ Intuition:
  - ▶  $S^* \setminus L$ 
    1. is very large compared to  $L$ , and
    2. is a solution to the reduced instance  $R$
  - ▶ Reducing the weight of vertices in  $S^* \setminus L$  by  $\max_L$  causes a very large drop in the optimum value for  $R$
  - ▶ Enough to accommodate putting all of  $L$  back in to a **2-approximate** solution

# A Generalized Local Ratio Technique

$S^*$ : Optimal solution,  $|S^*| \geq \frac{2n}{3}$ ;  $L$ :  $\frac{n}{6}$  vertices of least weight

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- ▶ **Proof:** Let  $R^*$  be an optimum solution for  $((T - L), w')$ 
  - ▶  $w'(R_{approx}) \leq 2w'(R^*)$

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  - ▶  $w'(R^*) \leq w'(S^* \setminus L)$

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  - ▶  $w'(S^* \setminus L) = w(S^* \setminus L) - |S^* \setminus L| \cdot \max_L \leq w(S^*) - |S^* \setminus L| \cdot \max_L$

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  - ▶  $w'(S^* \setminus L) = w(S^* \setminus L) - |S^* \setminus L| \cdot \max_L \leq w(S^*) - |S^* \setminus L| \cdot \max_L$
  - ▶  $|S^* \setminus L| \geq \frac{n}{2}$

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  - ▶  $|S^* \setminus L| \geq \frac{n}{2}$
  - ▶  $w'(S^* \setminus L) \leq w(S^*) - \frac{\max_L \cdot n}{2}$

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  - ▶  $w'(S^* \setminus L) = w(S^* \setminus L) - |S^* \setminus L| \cdot \max_L \leq w(S^*) - |S^* \setminus L| \cdot \max_L$
  - ▶  $|S^* \setminus L| \geq \frac{n}{2}$
  - ▶  $w'(S^* \setminus L) \leq w(S^*) - \frac{\max_L \cdot n}{2}$
  - ▶  $w'(R_{approx}) \leq 2w(S^*) - \max_L \cdot n$

# A Generalized Local Ratio Technique

$S^*$ : Optimal solution,  $|S^*| \geq \frac{2n}{3}$ ;  $L$ :  $\frac{n}{6}$  vertices of least weight

$w'(x) = w(x) - \max_L$ ,  $R = ((T - L), w')$

$R_{approx}$ : 2-approximation for  $R$

▶ **Claim:**  $L \cup R_{approx}$  is a 2-approximate solution for  $(T, w)$

▶ **Proof:** Let  $R^*$  be an optimum solution for  $((T - L), w')$

▶  $w'(R_{approx}) \leq 2w(S^*) - \max_L \cdot n$

▶  $w(R_{approx}) = w'(R_{approx}) + |R_{approx}| \cdot \max_L$   
 $\leq (2w(S^*) - \max_L \cdot n) + |R_{approx}| \cdot \max_L$   
 $= 2w(S^*) - \max_L(n - |R_{approx}|)$

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  - ▶  $w(R_{approx}) \leq 2w(S^*) - \max_L(n - |R_{approx}|)$
  - ▶  $w(L \cup R_{approx}) = w(R_{approx}) + w(L)$ 
$$\leq (2w(S^*) - \max_L(n - |R_{approx}|) + |L| \cdot \max_L)$$
$$= (2w(S^*) - \max_L(n - |R_{approx}| - |L|))$$
$$= (2w(S^*) - \max_L(n - |R_{approx} \cup L|))$$
$$\leq 2w(S^*).$$

# A Generalized Local Ratio Technique

Optimal solution  $S^*$  ;  $|S^*| \geq \frac{2n}{3}$

- ▶  $L$ :  $\frac{n}{6}$  vertices of the **smallest** weight
- ▶  $\max_L = \max_{v \in L} w(v)$
- ▶  $w' : (V \setminus L) \rightarrow \mathbb{N}$ 
  - ▶  $w'(x) = w(x) - \max_L$
- ▶ Reduced instance  $R = ((T - L), w')$
- ▶  $R_{approx}$ : 2-approximate solution for  $((T - L), w')$
- ▶ **Lemma:**  $L \cup R_{approx}$  is a 2-approximate solution for  $(T, w)$

# A Generalized Local Ratio Technique

If there is a "large" optimum solution

- ▶ Find  $L$ , compute  $w'$
- ▶ Recursively find a 2-approximate solution  $R_{approx}$  for  $((T - L), w')$
- ▶ Return  $L \cup R_{approx}$

# What if there is **no** optimum solution with $\geq \frac{2n}{3}$ vertices?

Steroid II: Randomization

- ▶ Pick a vertex  $p$  uniformly at random
  - ▶ "Pivot" vertex
  - ▶ There is an optimum solution which does **not** contain  $p$ , with probability  $\geq \frac{1}{3}$
  - ▶ So there is such a 2-approximate solution as well

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  - ▶ We look for such an  $S$

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  - ▶ We look for such an  $S$
- ▶ If  $p$  is **not** part of any directed triangle
  - ▶ Recurse on the in- and out- neighbourhoods of  $p$
  - ▶ Get 2-approximate solutions  $S_{in}, S_{out}$
  - ▶ Return  $S = S_{in} \cup S_{out}$

# What if there is **no** optimum solution with $\geq \frac{2n}{3}$ vertices?

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  - ▶ We look for such an  $S$
- ▶ If  $p \rightarrow x \rightarrow y \rightarrow p$  is a triangle
  - ▶  $\{x, y\} \cap S \neq \emptyset$
  - ▶ Apply the Local Ratio Technique to  $\{x, y\}$
  - ▶ Repeat till  $p$  is not in any directed triangle

# Tying it all together

- ▶ Input:  $(T = (V, A) ; |V| = n, w : V \rightarrow \mathbb{N})$
- ▶ If  $T$  has a smallest-weight FVS with at least  $\frac{2n}{3}$  vertices
  - ▶ Pick the  $\frac{n}{3}$  least-weight vertices  $L$  into a 2-approximate solution
  - ▶ Delete  $L$  from  $T$
  - ▶ Adjust the weights of the remaining vertices
  - ▶ Recursively find a 2-approximate solution of the resulting instance

# Tying it all together

- ▶ Input:  $(T = (V, A) ; |V| = n, w : V \rightarrow \mathbb{N})$
- ▶ If  $T$  has a smallest-weight FVS with at least  $\frac{2n}{3}$  vertices
  - ▶ Do stuff
- ▶ If  $T$  has **no** smallest-weight FVS with at least  $\frac{2n}{3}$  vertices
  - ▶ Pick a "pivot" vertex  $p$  uniformly at random
  - ▶ While  $p$  is part of a directed triangle  $\{p, x, y\}$ , apply the local ratio technique on  $\{x, y\}$ 
    - ▶ This deletes at least one of  $\{x, y\}$
  - ▶ If  $p$  is not in any directed triangle:
    - ▶ Recursively find 2-approximate solutions of the in- and out-neighbourhoods of  $p$

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# Tying it all together

## Steroid III: Branching + Divide and Conquer

- ▶ **Input:**  $(T = (V, A) ; |V| = n, w : V \rightarrow \mathbb{N})$
- ▶ If  $n \leq 10$  then solve by brute force

# Tying it all together

## Steroid III: Branching + Divide and Conquer

- ▶ Input:  $(T = (V, A) ; |V| = n, w : V \rightarrow \mathbb{N})$
- ▶ If  $n \leq 10$  then solve by brute force
- ▶ When  $n > 10$ :
  - ▶ Compute a solution  $S_0$  **assuming** there is an optimum solution with  $\geq \frac{2n}{3}$  vertices

# Tying it all together

## Steroid III: Branching + Divide and Conquer

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- ▶ If  $n \leq 10$  then solve by brute force
- ▶ When  $n > 10$ :
  - ▶ Compute a solution  $S_0$  assuming there is an optimum solution with  $\geq \frac{2n}{3}$  vertices
  - ▶ Compute 25 solutions  $S_1, \dots, S_{25}$ :
    - ▶ Pick a vertex  $p$  u.a.r from the set  $\{v \in V ; |N^+(v)| \leq \frac{8n}{9}, |N^-(v)| \leq \frac{8n}{9}\}$
    - ▶ Apply the "local" Local Ratio procedure with  $p$  as the pivot to get solution  $S_i$
  - ▶ Return the minimum-weight set from among  $S_0, S_1, \dots, S_{25}$

# Tying it all together

## Running time analysis

- ▶ Recurrence:  $T(n) \leq 51 \cdot T(8n/9) + \mathcal{O}(n^2)$ 
  - ▶ The "large-solution" step recurses on a graph with  $\frac{5n}{6} < \frac{8n}{9}$  vertices
  - ▶ Each "small-solution" step recurses on two graphs, each with at most  $\frac{8n}{9}$  vertices
  - ▶ There are 25 "small-solution" steps

# Tying it all together

## Running time analysis

- ▶ Recurrence:  $T(n) \leq 51 \cdot T(8n/9) + \mathcal{O}(n^2)$
- ▶ Resolves to  $T(n) = \mathcal{O}(n^{34})$  by the Master Theorem
  - ▶ Let  $T(n) = aT(n/b) + f(n)$  ;  $a \geq 1, b > 1$ 
    - ▶ If  $f(n) = \mathcal{O}(n^{\log_b a - \varepsilon})$  then  $T(n) = \Theta(n^{\log_b a})$
    - ▶  $\log_{9/8} 51 \approx 33.382$

# Tying it all together

Probability of success

- ▶ Claim: The procedure outputs a 2-approximate solution of  $(T, w)$  with probability at least half.
- ▶ Proof: Induction on the number  $n$  of vertices in  $T$ 
  - ▶ If  $n \leq 10$ : brute force, exact solution

# Tying it all together

## Probability of success

- ▶ **Claim:** The procedure outputs a 2-approximate solution of  $(T, w)$  with probability at least half.
- ▶ **Proof:** Induction on the number  $n$  of vertices in  $T$ 
  - ▶ If  $(T, w)$  has an optimum solution with at least  $\frac{2n}{3}$  vertices:
    - ▶  $S_0$  is a 2-approximate solution with probability at least half.

# Tying it all together

## Probability of success

- ▶ **Claim:** The procedure outputs a 2-approximate solution of  $(T, w)$  with probability at least half.
- ▶ **Proof:** Induction on the number  $n$  of vertices in  $T$ 
  - ▶ Say  $(T, w)$  has **no** optimum solution with at least  $\frac{2n}{3}$  vertices
  - ▶ In computing *each*  $S_1, \dots, S_{25}$ , the probability that  $p$  is **not** in an optimum solution is at least  $\frac{1}{9}$ .
    - ▶ There are at least  $\frac{n}{9}$  non-solution vertices  $v$  with  $|N^+(v)| \leq \frac{8n}{9}$  and  $|N^-(v)| \leq \frac{8n}{9}$

# Tying it all together

## Probability of success

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- ▶ **Proof:** Induction on the number  $n$  of vertices in  $T$ 
  - ▶ Say  $(T, w)$  has **no** optimum solution with at least  $\frac{2n}{3}$  vertices
  - ▶ In computing *each*  $S_1, \dots, S_{25}$ , the probability that  $p$  is **not** in an optimum solution is at least  $\frac{1}{9}$ .
  - ▶ Inductively, the two recursive solutions are 2-approximate with probability at least half.
  - ▶ Each  $S_i$  is good with probability at least  $\frac{1}{36}$
  - ▶ At least one of the 25  $S_i$ s is good with probability at least
    - ▶  $1 - (1 - \frac{1}{36})^{25} \geq \frac{1}{2}$

## In Conclusion . . .

- ▶ **Theorem:** There is a randomized polynomial-time algorithm which, given an instance  $(T, w)$  of Weighted Tournament Feedback Vertex Set on  $n$  vertices, runs in  $\mathcal{O}(n^{34})$  time and outputs a 2-approximate solution with probability at least half.

## In Conclusion . . .

- ▶ **Theorem:** There is a randomized polynomial-time algorithm which, given an instance  $(T, w)$  of Weighted Tournament Feedback Vertex Set on  $n$  vertices, runs in  $\mathcal{O}(n^{34})$  time and outputs a 2-approximate solution with probability at least half.
- ▶ Can be derandomized to run in  $n^{\mathcal{O}(\log n)}$  time
  - ▶ Try each "good" vertex as pivot, instead of picking 25 of them at random
    - ▶  $T(n) \leq (2n + 1) \cdot T(8n/9) + \mathcal{O}(n^2)$
    - ▶ Resolves to  $T(n) = n^{\mathcal{O}(\log n)}$

# Open Problems

- ▶ Deterministic polynomial time algorithm?
- ▶ Reasonable degree for the polynomial?
- ▶ 2-approximation algorithms for other 3-hitting set problems?
  - ▶ E.g: CLUSTER VERTEX DELETION
    - ▶  $\frac{9}{4}$ -approximation
    - ▶ Local Ratio Technique
    - ▶ Fiorini et al., August 2018.

Thank You!